

PATENT  
Docket No. 220.00010150IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Eric T. Kool ) Group Art Unit: 1635  
Serial No.: 09/997,931 ) Examiner: Sean McGarry  
Confirmation No.: 5355 )  
Filed: 30 November 2001 )  
For: CIRCULAR DNA VECTORS FOR SYNTHESIS OF RNA AND DNA

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CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this Facsimile Cover Sheet and the paper(s), as described hereinabove, are being transmitted by facsimile in accordance with 37 CFR §1.6(d) to the Patent and Trademark Office addressed to the Commissioner for Patents, Mail Stop Issue Fee, P.O. Box 1450, Alexandria, VA 22313-1450, on this 31<sup>st</sup> day of August, 2006, at 9:58am (Central Time).

31 August 2006  
Date

Signature: Sam E. Wigan  
Name: Sam E. Wigan

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For: CIRCULAR DNA VECTORS FOR SYNTHESIS OF RNA AND DNANOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant's Representatives hereby notify the U.S. Patent and Trademark Office pursuant to 37 C.F.R. § 1.27(g)(2) that the small entity status for the above-identified patent application has changed. The assignee, University of Rochester, has licensed the above-identified patent application and no longer qualifies for small entity status. Therefore, the status of the present application has changed to large entity and the Issue Fee submitted herewith is in the amount of \$1400.00.

The Examiner is invited to contact Applicant's Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being transmitted by facsimile in accordance with 37 CFR § 1.6(d) to the Patent and Trademark Office, addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 31<sup>st</sup> day of August, 2006, at 9:58am (Central Time).

Name: Sam E. Wight

Aug 31, 2006  
Date

Respectfully submitted  
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